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(571) 273-8300**

Applicant(s): Vargas, Jaime; et. al.  
Assignee: Cardica, Inc.  
Title: Sutureless Closure and Deployment System for Connecting Blood Vessels  
Serial No.: 10/606,813  
Examiner: Not yet assigned  
Docket No.: 138

Filed: June 26, 2003  
Group Art Unit: 3731

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement; and
- (3) Form PTO-1449.

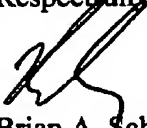
- ☐ No additional fee is required.
- ☒ Information disclosure statement fee, to be charged to our Deposit Account No. 502108. **\$180.00**
- ☒ Please charge any additional fees required and credit any overpayment to our Deposit Account No. 502108.

**Certificate of Transmission**

I hereby certify that this correspondence is being facsimile transmitted to the U.S Patent and Trademark Office (Fax No. (571) 273-8300) on September 15, 2006.

  
\_\_\_\_\_  
Brian Schar

Respectfully submitted,

  
Brian A. Schar  
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SEP 15 2006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Vargas, Jaime; et. al.  
Assignee: Cardica, Inc.  
Title: Sutureless Closure and Deployment System for Connecting Blood Vessels  
Serial No.: 10/606,813 Filing Date: June 26, 2003  
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Docket No.: 138

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner.

Respectfully submitted,



Brian A. Schar  
Reg. No. 45,076  
Attorney for Cardica, Inc.  
900 Saginaw Drive  
Redwood City, CA 94063

PTO/B01a(07-06)

Approved for use through 7/31/2008. OMB 0251-0031  
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Substitute for form 1449/APTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)					
				<i>Complete if Known</i>	
				Application Number	10/606,813
				Filing Date	June 26, 2003
				First Named Inventor	Vargas, Jaime
				Art Unit	3731
				Examiner Name	Julian W. Woo
Sheet	1	of	1	Attorney Docket No: 138	

US PATENT DOCUMENTS					
Examiner Initial *	Cite No	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date if Appropriate
		US-3777538	12/11/1973	Weatherly, Gilbert L., et al.	
		US-5720756	02/24/1998	Green, David T., et al.	
		US-5782397	07/21/1998	Koukline, Alexandre	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) \* Applicant is to place a check mark here if English language Translation is attached